

03-22-01

PTO/SB05 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

Please type a plus sign (+) inside this box ☐ [+]

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. **1921-00101**First Inventor **Tamim K. MOURAD, et al.**Title **Method and Apparatus for Internet E-Commerce Shopping Guide**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No. **EL 818624215 US**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Page 18]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. Oath and Declaration
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: **Request and Certification Under 35
U.S.C. 122(b)(2)(B)(I); Declaration; &
Acknowledgement Postcard**

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)
Prior application information: Examiner
of prior application No.:
Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label **23505** or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

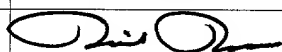
Name (Print/Type)

DAVID A. ROSE

Registration No. (Attorney/Agent)

26,223

Signature

Date: **March 21, 2001**

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2001</h3> <p style="margin: 5px 0;"><i>Patent fees are subject to annual revision.</i></p>		Complete if Known	
		Application Number	Concurrently Herewith
		Filing Date	March 21, 2001
		First Named Inventor	Tamim K. MOURAD, et al
		Examiner Name	Not Yet Known
		Group Art Unit	Not Yet Known
TOTAL AMOUNT OF PAYMENT	\$489.00	Attorney Docket No.	1921-00101

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																																												
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number: 03-2769 Deposit Account Name: Conley, Rose & Tayon, P.C.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other Order</p>	<h3>3. ADDITIONAL FEES</h3> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td>\$</td></tr> <tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>\$</td></tr> <tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td>\$</td></tr> <tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td>\$</td></tr> <tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td>\$</td></tr> <tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td>\$</td></tr> <tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td>\$</td></tr> <tr><td>116 390</td><td>216 195</td><td>Extension for reply within second month</td><td>\$</td></tr> <tr><td>117 890</td><td>217 445</td><td>Extension for reply within third month</td><td>\$</td></tr> <tr><td>118 1,390</td><td>218 695</td><td>Extension for reply within fourth month</td><td>\$</td></tr> <tr><td>128 1,890</td><td>228 945</td><td>Extension for reply within fifth month</td><td>\$</td></tr> <tr><td>119 310</td><td>219 155</td><td>Notice of Appeal</td><td>\$</td></tr> <tr><td>120 310</td><td>220 155</td><td>Filing a brief in support of an appeal</td><td>\$</td></tr> <tr><td>121 270</td><td>221 135</td><td>Request for oral hearing</td><td>\$</td></tr> <tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td>\$</td></tr> <tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td>\$</td></tr> <tr><td>141 1,240</td><td>241 620</td><td>Petition to revive - unintentional</td><td>\$</td></tr> <tr><td>142 1,240</td><td>242 620</td><td>Utility issue fee (or reissue)</td><td>\$</td></tr> <tr><td>143 440</td><td>243 220</td><td>Design issue fee</td><td>\$</td></tr> <tr><td>144 600</td><td>244 300</td><td>Plant issue fee</td><td>\$</td></tr> <tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td>\$</td></tr> <tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td>\$</td></tr> <tr><td>126 180</td><td>126 180</td><td>Submission of Information Disclosure Stmt</td><td>\$</td></tr> <tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td>\$40.00</td></tr> <tr><td>146 710</td><td>246 355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td>\$</td></tr> <tr><td>149 710</td><td>249 355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td>\$</td></tr> <tr><td>179 710</td><td>279 355</td><td>Request for Continued Examination (RCE)</td><td>\$</td></tr> <tr><td>169 900</td><td>169 900</td><td>Request for expedited examination of a design application</td><td>\$</td></tr> <tr><td colspan="3">Other fee (specify)</td><td>\$</td></tr> <tr> <td colspan="3" style="text-align: right;">*Reduced by Basic Filing Fee Paid</td> <td style="text-align: right;">SUBTOTAL (3) \$40.00</td> </tr> </tbody> </table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath	\$	127 50	227 25	Surcharge - late provisional filing fee or cover sheet	\$	139 130	139 130	Non-English specification	\$	147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	\$	112 920*	112 920*	Requesting publication of SIR prior to Examiner action	\$	113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	\$	115 110	215 55	Extension for reply within first month	\$	116 390	216 195	Extension for reply within second month	\$	117 890	217 445	Extension for reply within third month	\$	118 1,390	218 695	Extension for reply within fourth month	\$	128 1,890	228 945	Extension for reply within fifth month	\$	119 310	219 155	Notice of Appeal	\$	120 310	220 155	Filing a brief in support of an appeal	\$	121 270	221 135	Request for oral hearing	\$	138 1,510	138 1,510	Petition to institute a public use proceeding	\$	140 110	240 55	Petition to revive - unavoidable	\$	141 1,240	241 620	Petition to revive - unintentional	\$	142 1,240	242 620	Utility issue fee (or reissue)	\$	143 440	243 220	Design issue fee	\$	144 600	244 300	Plant issue fee	\$	122 130	122 130	Petitions to the Commissioner	\$	123 50	123 50	Petitions related to provisional applications	\$	126 180	126 180	Submission of Information Disclosure Stmt	\$	581 40	581 40	Recording each patent assignment per property (times number of properties)	\$40.00	146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	\$	149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	\$	179 710	279 355	Request for Continued Examination (RCE)	\$	169 900	169 900	Request for expedited examination of a design application	\$	Other fee (specify)			\$	*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) \$40.00
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																										
105 130	205 65	Surcharge - late filing fee or oath	\$																																																																																																																										
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	\$																																																																																																																										
139 130	139 130	Non-English specification	\$																																																																																																																										
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	\$																																																																																																																										
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	\$																																																																																																																										
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	\$																																																																																																																										
115 110	215 55	Extension for reply within first month	\$																																																																																																																										
116 390	216 195	Extension for reply within second month	\$																																																																																																																										
117 890	217 445	Extension for reply within third month	\$																																																																																																																										
118 1,390	218 695	Extension for reply within fourth month	\$																																																																																																																										
128 1,890	228 945	Extension for reply within fifth month	\$																																																																																																																										
119 310	219 155	Notice of Appeal	\$																																																																																																																										
120 310	220 155	Filing a brief in support of an appeal	\$																																																																																																																										
121 270	221 135	Request for oral hearing	\$																																																																																																																										
138 1,510	138 1,510	Petition to institute a public use proceeding	\$																																																																																																																										
140 110	240 55	Petition to revive - unavoidable	\$																																																																																																																										
141 1,240	241 620	Petition to revive - unintentional	\$																																																																																																																										
142 1,240	242 620	Utility issue fee (or reissue)	\$																																																																																																																										
143 440	243 220	Design issue fee	\$																																																																																																																										
144 600	244 300	Plant issue fee	\$																																																																																																																										
122 130	122 130	Petitions to the Commissioner	\$																																																																																																																										
123 50	123 50	Petitions related to provisional applications	\$																																																																																																																										
126 180	126 180	Submission of Information Disclosure Stmt	\$																																																																																																																										
581 40	581 40	Recording each patent assignment per property (times number of properties)	\$40.00																																																																																																																										
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	\$																																																																																																																										
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	\$																																																																																																																										
179 710	279 355	Request for Continued Examination (RCE)	\$																																																																																																																										
169 900	169 900	Request for expedited examination of a design application	\$																																																																																																																										
Other fee (specify)			\$																																																																																																																										
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) \$40.00																																																																																																																										
<h3>1. BASIC FILING FEE</h3> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101 710</td><td>201 355</td><td>Utility filing Fee</td><td>\$</td></tr> <tr><td>106 320</td><td>206 160</td><td>Design filing fee</td><td>\$</td></tr> <tr><td>107 490</td><td>207 245</td><td>Plant filing fee</td><td>\$</td></tr> <tr><td>108 710</td><td>208 355</td><td>Reissue filing fee</td><td>\$</td></tr> <tr><td>114 150</td><td>214 75</td><td>Provisional filing fee</td><td>\$</td></tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (1)</td> <td style="text-align: right;">\$355.00</td> </tr> </tbody> </table> <h3>2. EXTRA CLAIM FEES</h3> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>26</td> <td>- 20** = 6 x</td> <td>9.00</td> <td>= \$54.00</td> </tr> <tr> <td>Independent Claims</td> <td>4 3** = 1 x</td> <td>40.00</td> <td>= \$ 40.00</td> </tr> <tr> <td>Multiple Dependent Claims</td> <td></td> <td>270.00</td> <td>= \$ 00.00</td> </tr> </tbody> </table> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103 18</td><td>203 9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102 80</td><td>202 40</td><td>Independent Claims in excess of 3</td><td></td></tr> <tr><td>104 270</td><td>204 135</td><td>Multiple dependent claim, if not paid</td><td></td></tr> <tr><td>109 80</td><td>209 40</td><td>** Reissue independent claims over original patent</td><td></td></tr> <tr><td>110 18</td><td>210 9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (2)</td> <td style="text-align: right;">\$94.00</td> </tr> </tbody> </table> <p>** or number previously paid, if greater; For Reissues, see above</p>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 710	201 355	Utility filing Fee	\$	106 320	206 160	Design filing fee	\$	107 490	207 245	Plant filing fee	\$	108 710	208 355	Reissue filing fee	\$	114 150	214 75	Provisional filing fee	\$	SUBTOTAL (1)			\$355.00	Total Claims	Extra Claims	Fee from below	Fee Paid	26	- 20** = 6 x	9.00	= \$54.00	Independent Claims	4 3** = 1 x	40.00	= \$ 40.00	Multiple Dependent Claims		270.00	= \$ 00.00	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103 18	203 9	Claims in excess of 20		102 80	202 40	Independent Claims in excess of 3		104 270	204 135	Multiple dependent claim, if not paid		109 80	209 40	** Reissue independent claims over original patent		110 18	210 9	** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)			\$94.00																																																					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																										
101 710	201 355	Utility filing Fee	\$																																																																																																																										
106 320	206 160	Design filing fee	\$																																																																																																																										
107 490	207 245	Plant filing fee	\$																																																																																																																										
108 710	208 355	Reissue filing fee	\$																																																																																																																										
114 150	214 75	Provisional filing fee	\$																																																																																																																										
SUBTOTAL (1)			\$355.00																																																																																																																										
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																										
26	- 20** = 6 x	9.00	= \$54.00																																																																																																																										
Independent Claims	4 3** = 1 x	40.00	= \$ 40.00																																																																																																																										
Multiple Dependent Claims		270.00	= \$ 00.00																																																																																																																										
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																										
103 18	203 9	Claims in excess of 20																																																																																																																											
102 80	202 40	Independent Claims in excess of 3																																																																																																																											
104 270	204 135	Multiple dependent claim, if not paid																																																																																																																											
109 80	209 40	** Reissue independent claims over original patent																																																																																																																											
110 18	210 9	** Reissue claims in excess of 20 and over original patent																																																																																																																											
SUBTOTAL (2)			\$94.00																																																																																																																										

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	DAVID A. ROSE	Registration No. (Attorney/Agent)	26,223
Signature		Telephone	(713) 238-8000
		Date	MARCH 21, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

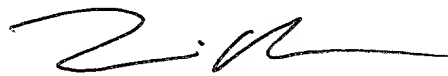
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(I)	First Named Inventor	Tamim K. MOURAD, et al.
	Title	Method and Apparatus for Internet E-Commerce Shopping Guide
	Atty Docket Number	1921-00101

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

3/20/01

Date



Signature

Tamim K. Mourad

Typed or Printed Name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If application subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applicants eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**